PALLADIUM-CATALYZED CARBONYL ALLYLATION BY ALLYLIC ALCOHOLS WITH SnCl₂. A SOLVATION-CONTROLLED DIASTEREOSELECTION

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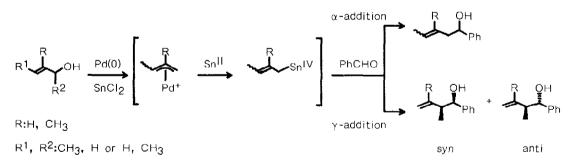
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Summary: Diastereocontrol in the allylation of benzaldehyde by (E)-but-2-en-1-ol using catalyst $PdCl_2(PhCN)_2$ and $SnCl_2$ was achieved by the choice of polar solvents; use of DMSO at 25 °C led to syn selection, while anti selection was found at -10 °C in THF. The addition of 3-10 equivalents of H₂O in any solvent enhanced the selectivities, and the control of the amount of H₂O in DMSO permitted both syn selection (a small amount of H₂O) and anti selection (a large amount of H₂O).

Allylic alcohols can be applied to carbonyl allylation, using catalyst $PdCl_2(PhCN)_2$ and $SnCl_2$ in 1,3-dimethyl-imidazolidinone (DMI) (Scheme 1).¹ The carbonyl allylation proceeds via the formation of π -allylpalladium complexes followed by the reductive elimination to produce allylic tin(IV) intermediates.² The reaction is both highly chemoselective and highly regioselective (γ -addition). The diastereoselectivity in the allylation of benzaldehyde with (E)-but-2-en-1-ol is not so high (syn:anti=29:71).³ The solvation of DMI to the Sn(IV) probably depresses anti selectivity.⁴ Hence, the diastereoselectivity should be changed by the solvation modes of various polar solvents at many different temperatures.⁵

Scheme 1



Indeed the allylation of benzaldehyde with (E)-but-2-en-1-ol took place in any polar solvent, where $SnCl_2$ was dissolved, to give homoallylic alcohols in good yields (Table 1). The diastereoselectivity revealed a slight solvent dependency. The reactions in both ethylene glycol (EG) and DMSO possessed syn selectivity, contrary to the anti selectivity found in DMI (entries 6, 7). Both regio- (γ -addition) and diastereoselectivity (anti) were enhanced at lower reaction temperatures in THF (entries 3, 8-10). Although carbonyl allylations using allylic organometallic reagents are generally inhibited by the presence of H₂O, the palladium-catalyzed carbonyl allylation by (E)-but-2-en-1-ol with SnCl₂ was accelerated by the addition of H₂O (3-10 equiv based on the aldehyde) in any solvent used.⁶ Furthermore, in the presence

entry	allylic	alcohol	solvent (ml)	H ₂ O mmol	temp °C	time h	yield ^b %	syn:anti: α ^C
2		1	DMF(3)		25	63	89	30 : 70
3			THF(3)		25	24	72	22 : 25 : 53
4			BuOH(3)		25	65	72	36:58:6
5			EtOH(3)		25	72	79	38 : 41 : 21
6			EG(3)		25	37	78	58:42
7			DMSO(3)		25	136	34	65 : 35
8			THF(3)		0	95	80	16 : 78 : 6
9			THF(3)		-10	130	81	10 : 90
10			THF(3)		-15	135	62	7:93
11			THF-DMI(1:1,3	3)	0	96	40	11:89
12			THF(4)	10	25	6	74	16 : 84
13			THF(4)	10	-20	144	35	6:94
14			DMSO(3)	3	25	88	84	86:14
15			DMSO(3)	20	25	69	77	68 : 32
16			DMSO(3)	28	25	70	65	49:51
17			DMSO(3)	43	25	50	71	39:61
18·			DMSO(3)	170	25	70	70	16 : 84
19	/	∽∽он	DMSO(3)	3	25	92	95	84 : 16
20	2	2	DMSO(3)	170	25	55	60	24 : 76
21			THF(4)	10	25	6	91	27:73
22	Ø	∽он	DMSO(3)	3	25	95	51	84 : 16
23	1 :	3	DMSO(3)	170	25	95	48	64 : 36
24			THF(4)	10	25	6	80	56:44
25	\sim	- он	DMSO(3)	3	25	109	83	27 : 73 ^e
26	4	ŧ.	DMSO(3)	54	25	68	67	3:97
27	1	1	THF(4)	20	25	47	63	11:89
28		он	DMSO(3)	. 3	25	113	87	38 : 62 ^e
29	/ 5	5	DMSO(3)	54	25	71	88	81 : 19
30			THF(4)	20	25	35	79	87 : 13

 Table 1.
 Palladium-Catalyzed Allylation of Benzaldehyde by Allylic Alcohols in Various Solvents^a

a) The allylation of benzaldehyde (1 mmol) by allylic alcohols (1.5 mmol) with $PdCl_2(PhCN)_2$ (0.02 mmol) and $SnCl_2$ (3 mmol) was carried out. b) Isolated purified products. c) The ratio was determined by GC (capillary column: PEG 20M, 0.25 mm × 30 m, 155 °C). d) See ref. 1. e) PhCHO/allylic alcohol/SnCl_2=1:3:3.

of H₂O, the reaction was more selective, with respect to both γ -addition (regiocontrol) and syn:anti addition (diastereocontrol). Thus, use of THF-H₂O medium led to highly selective anti addition without α -addition even at 25 °C (entry 12). The diastereoselectivity of the carbonyl allylation in THF-H₂O at -20 °C further enhanced (syn:anti=6:94, entry 13). Surprisingly, the control of the amount of H₂O in DMSO medium permitted both syn selection (a small amount of H₂O) and anti selection (a large amount of H₂O) (entries 14-18).⁷

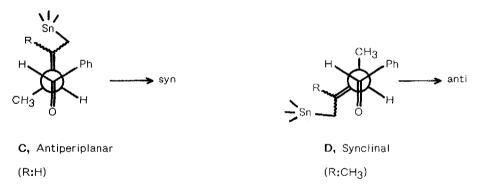
Scheme 2

Six-Membered Cyclic Transition States in THF-H₂O



Scheme 3

Acyclic Transition States in DMSO-H₂O(small)



In DMSO-H₂O(large) or THF-H₂O medium, (E)-but-2-en-1-ol 1, but-3-en-2-ol 2, and (E)-2methylbut-2-en-1-ol 4 caused anti addition (entries 12, 18, 20, 21, 26, 27) and (Z)-but-2-en-1-ol 3 and (Z)-2-methylbut-2-en-1-ol 5 caused syn addition (entries 23, 24, 29, 30). These results can be explained by chair forms of six-membered cyclic transition states A and B (Scheme 2).³ Less syn selectivity in the addition of (Z)-but-2-en-1-ol presumably depended on the preparation of an (E)-but-2-en-1-yltin intermediate via the rapid isomerization of an anti- π -allylpalladium complex to a syn complex.^{1,5} Both additions of (E)-1 and (Z)-3 in DMSO-H₂O(small) medium exhibited syn selectivity without depending upon double-bond geometry (entries 14, 22). Thus, this syn selection suggests an acyclic antiperiplanar transition state C (Scheme 3).³ Both (E)-4 and (Z)-5 caused anti addition in DMSO-H₂O(small) medium (entries 25, 28). Since (1) an anti- π -allylpalladium complex derived from (Z)-5 has hardly isomerized to a syn complex in THF-H₂O or DMSO-H₂O(large) and (2) the reactions of (E)-1 and (Z)-3 have proceeded via an acyclic transition state C in DMSO-H₂O(small), we propose one possible acyclic transition state, namely acyclic synclinal transition state D in the reactions of 4 and 5 in DMSO-H₂O(small), which has been hitherto unknown in the intermolecular carbonyl allylation (Scheme 3).⁸ Steric hindrance due to the 2-methyl group in 4 and 5, which is more bulky than 2-proton in 1 and 3, presumably led to the synclinal transition state D.

In contrast with solvents such as DMI and DMF, DMSO should strongly coordinate to Sn(IV) in the allylic tin intermediates, thus disturbing the formation of the six-membered cyclic transition states **A** or **B**. The small amount of H_2O (3 equiv) seems to cause the hydrolysis of Sn^{IV}-CI bonds to activate the allylic tin intermediates,⁶ and the large amount of H_2O in DMSO seems not only to hydrolyze the Sn^{IV}-CI bonds but also to rupture the coordination of DMSO to the Sn(IV).

Using the $PdCl_2(PhCN)_2-SnCl_2$ reagent, desirable diastereocontrol in any allylic moiety should be easily achieved by the choice of solvent, since the starting materials, pure (E)- and (Z)-allylic alcohols, are available. Further studies confirming the structures of the solvated and/or hydrated allylic tin intermediates are in progress.

References and notes

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